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# Molecular Crystals and Liquid Crystals

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# Origin and Model for *Cooperative* Host–Guest Interaction Affecting the Orientation of Guests in Oriented Nematic Hosts

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It has been observed for the binary systems of oriented nematic hosts and nonmesomorphic guests (substituted anthraquinones) that the orientational orders of amino-substituted anthraquinones ascend with increasing guest concentrations. A new quantitative and experimental procedure has been proposed for obtaining the host–guest isotropic dispersion, isotropic electrostatic, anisotropic dispersion, and anisotropic electrostatic interaction energies. Among these four host–guest interaction energies, the host–guest isotropic dispersion interaction energy alone is demonstrated to be well correlated with the concentration dependence of the orientational order of the guest. A model is also proposed for the cooperative and isotropic host–guest interaction.

Keywords: Isotropic dispersion force; nematic host-guest cooperative interaction; orientational order

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#### INTRODUCTION

It has been shown that both the dispersion attractive force [1-6] and the hard-core repulsive force [7–16] play dominant roles in anisotropic intermolecular interaction to induce anisotropic molecular arrangements of the liquid crystals [17–21]. For a binary system of nematic host and nonmesomorphic guest, however, hard-core repulsive forces were shown not to contribute considerably to anisotropic host-guest interaction [22]. Furthermore, anisotropic dispersion energy, introduced first in the liquid-crystal theory proposed by Maier and Saupe [1], is estimated to be at least an order of magnitude smaller than that required by their theory, suggesting the importance of the isotropic dispersion force [23]. Thus, in the present study, we analyze attractive host-guest interaction to demonstrate the important role of the hostguest isotropic dispersion force in the cooperative induction of the orientation of guests in oriented nematic hosts. For some binary systems of nematic hosts and nonmesomorphic guests, we have previously investigated the effects of the guest concentrations on the nematic-isotropic transition temperature  $(T_{ni})$  of the host and on the dichroic ratio  $(R_d)$ , a measure of the orientational order, of the guest. We found that  $T_{\rm ni}$  and  $R_{\rm d}$  are both elevated with increasing guest concentrations in the case of the CPB (4-cyano-4'-pentylbiphenyl; host)-D37 (1,5-di(p-n-butylanilino)anthraquinone; guest) system [24]. This phenomenon has been considered to be induced by an attractive host-guest interaction of the many-body and cooperative type [24]. In the present article, we study the dependence of  $T_{\rm ni}$  and  $R_{\rm d}$  on guest concentrations for binary mixtures of nematogenic hosts and nonmesomorphic guests, the guests being several anthraquinones with simple substituents such as amino and/or hydroxy groups. Furthermore, the nature of the attractive host-guest interactions are analyzed by applying the theories of Maier and Saupe [1] and McRae [25] to get an insight into the ideas of cooperative and isotropic aspects of the host-guest interaction.

#### **EXPERIMENTAL**

The samples used are CPB (Merck) and 4-(4'-n-butylcyclohexyl)-cyanocyclohexane (abbreviated to CCH4, Merck) for nematogenic hosts and anthraquinone (AQ), 1-aminoanthraquinone (1-NH<sub>2</sub>AQ), 2-aminoanthraquinone (2-NH<sub>2</sub>AQ), 1,4-diaminoanthraquinone (1,4-NH<sub>2</sub>AQ), 1,5-diaminoanthraquinone (1,5-NH<sub>2</sub>AQ), 1,4,5,8-tetraaminoanthraquinone (TAAQ), 1-hydroxyanthraquinone (1-OHAQ), 1,4-dihydroxyanthraquinone (1,4-OHAQ), 1,5-dihydroxyanthraquinone (1,5-OHAQ),

1-amino-4-hydroxyanthraquinone (1-NH<sub>2</sub>-4-OHAQ), and 1,5-dichloro-anthraquinone (1,5-ClAQ) for nonmesomorphic guests. These guest samples were obtained commercially from Tokyo Kasei Kogyo Co., Ltd., and used without further purification, except for TAAQ (Aldrich), which was recrystallized three times from ethanol because of its low purity (35%).

A sandwich cell was prepared using two borosilicate glass plates coated with PVA (polyvinylalcohol). The coated plates were rubbed to attain a homogeneous alignment of the nematic host. The  $T_{\rm ni}$  value of the nematic host was determined by the method previously reported [24]. As quantitative measures of the degrees of changes in  $T_{\rm ni}$  and in  $R_{\rm d}$  with increasing guest concentration, the  $\beta (=-{\rm d}T_{\rm ni}^*/{\rm d}x)$  [26] and  $\beta'(=dR_{\rm d}/dx)$  values were evaluated from the slopes of the  ${T_{
m ni}}^*$  vs. x and  $R_{
m d}$  vs. x plots, respectively.  $T_{
m ni}^* (= T_{
m ni}/T_{
m ni}^0)$  and x are the reduced temperature and the molar fraction of the guest, respectively. Here,  $T_{\rm ni}$  and  $T_{\rm ni}^{0}$  are the nematic-isotropic transition temperatures with and without guests, respectively. The  $R_{\rm d}$  value of the guest in the oriented nematic host is defined as  $A_{\parallel}/A_{\perp}$ , where  $A_{\parallel}$  and  $A_{\perp}$  are the absorbances for the light beams polarized parallel to and perpendicular to the rubbing direction, respectively. The  $R_{\rm d}$  value was determined at the peak position of the longest wavelength absorption band of the guest, which is polarized along the longer molecular axis. The nematic host exhibited no absorption in this wavelength region. The order parameter (S) of a guest in the oriented nematic host was experimentally evaluated as  $S = (A_{\parallel} - A_{\perp})/(A_{\parallel} - 2A_{\perp}) = (R_{\rm d} - 1)/(R_{\rm d} + 2)$ , giving the longer molecular axis orientational order of the guest. The Svalue for 2-NH<sub>2</sub>AQ was corrected because the transition moment for the longest wavelength absorption band of 2-NH<sub>2</sub>AQ deviates from the longer molecular axis to a larger extent [27].

The anisotropic relative permittivity  $(\varepsilon_\perp)$  and the anisotropy in the relative permittivities  $(\Delta\varepsilon=\varepsilon_\parallel-\varepsilon_\perp)$  for the anisotropic nematic host were determined from the voltage dependence of the capacitance, which is measured for the nematic host oriented homogeneously between the two glass plates. These two glass plates are coated with ITO (indium tin oxide) thin films and further with PVA thin film, followed by rubbing. The anisotropic relative permittivity of  $\varepsilon_\parallel$  is given as  $\varepsilon_\parallel=\Delta\varepsilon+\varepsilon_\perp$ . Here,  $\varepsilon_\parallel$  and  $\varepsilon_\perp$  are defined as the relative permittivities for the electric field parallel to and perpendicular to the rubbing direction, respectively. The capacitance was measured using an LCR meter YHP4275A (Yokokawa-Hewlett-Packard). The anisotropic refractive index  $(n_\perp)$  for the anisotropic nematic host was determined by measuring the refraction angle of the light (He–Ne laser;  $\lambda=632.8$  nm), polarized perpendicular to the rubbing direction, passing through

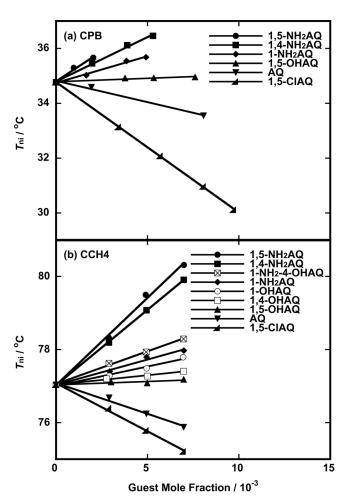
the liquid-crystalline cell with a **wedgy-shaped** gap. In addition, the anisotropy in the refractive indices  $(\Delta n = n_{\parallel} - n_{\perp})$  was determined from the voltage dependence of the transmittance for the unpolarized light propagating through crossed nicols, between which the liquid-crystalline cell used for the determination of the anisotropic relative permittivities is sandwiched. The anisotropic refractive index of  $n_{\parallel}$  is given as  $n_{\parallel} = \Delta n + n_{\perp}$ . The isotropic relative permittivity  $(\varepsilon_{\rm iso})$  and isotropic refractive index  $(n_{\rm iso})$  for the isotropic host were measured by heating the nematic host above  $T_{\rm ni}$ .

### **RESULTS AND DISCUSSION**

## Concentration Dependence of $T_{ni}$ and $R_{di}$

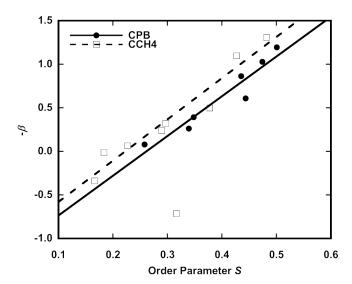
In Fig. 1, the  $T_{\rm ni}$  values were plotted against the guest concentrations for various guests of substituted anthraquinones in the nematic hosts of (a) CPB and (b) CCH4. Similar tendencies were seen in the effects of the guest concentration on  $T_{\rm ni}$  of both hosts. That is, both  $T_{\rm ni}$  values of CPB and CCH4 ascended with increasing concentrations of the guests of 1,5-NH<sub>2</sub>AQ, 1,4-NH<sub>2</sub>AQ, 1-NH<sub>2</sub>AQ, and 1,5-OHAQ, while they descended for the guests of AQ and 1,5-ClAQ.

Figure 2 shows the  $-\beta$  vs. S plots for the guests in the nematic hosts of CPB and CCH4, the  $\beta$  values being evaluated from the slopes of the straight lines in Fig. 1. The S values for all the guests adopted in this figure were determined at constant guest concentrations and at constant temperatures appropriate to the nematic hosts of CPB  $(20^{\circ}\text{C})$  and CCH4  $(55^{\circ}\text{C})$ . It is inferred from Fig. 2 that the order parameters of the guests are well correlated with the  $-\beta$  values of the nematic hosts. This implies that the guest exhibiting a higher orientational order in a nematic host has a higher ability to raise  $T_{\rm ni}$  or to enhance the thermal stability of the molecular orientation of the host. This correlation suggests that the molecular orientation of the guest induced by the oriented nematic host and the enhancement of the molecular orientational order of the host induced by the guest are both caused by the same kind of host-guest intermolecular force. As described in the introductory section, the attractive intermolecular force responsible for the molecular arrangement of the nematic host is suggested to be the *isotropic* dispersion force rather than the *aniso*tropic one [23]. Thus, we investigated to see if there were any correlations between the host-guest isotropic (or anisotropic) dispersion force and the thermal stability  $(-\beta)$  of the host (or the longer-molecular-axis orientational order (S) of the guest in the host). The scaled or relative anisotropies in polarizabilities ( $\Delta \alpha^* = \Delta \alpha/(\alpha_i + \alpha_i + \alpha_k)$ ) [28,29] and the



**FIGURE 1** Plots of  $T_{\rm ni}$  against guest mole fractions for various guests of substituted anthraquinones in nematic hosts of (a) CPB and (b) CCH4.

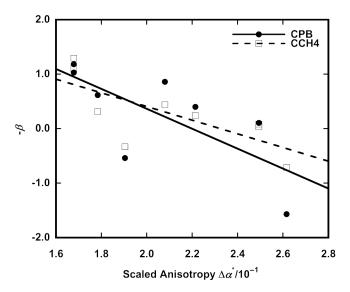
lowest (first) electronic absorption band energies ( $\Delta E$ : estimated from the band maximum position) of the guests were used as a measure of the magnitudes for the *anisotropic* and the whole (isotropic + anisotropic) dispersion forces between a host and various guests, respectively. Here,  $\Delta \alpha$ (anisotropy in polarizability) =  $2\alpha_i - \alpha_j - \alpha_k$ , where i, j, and k are the axes of the highest, medium, and lowest polarizability, respectively [30]. The values of  $\alpha_i$ ,  $\alpha_j$ , and  $\alpha_k$  for substituted AQ were estimated from those for AQ [31] and bond polarizabilities [29,32–34]. The larger the  $\Delta \alpha$  value of the guest, the stronger



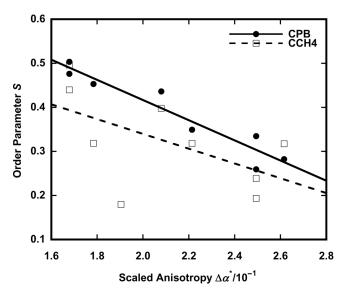
**FIGURE 2**  $-\beta$  vs. S plots for guests in nematic hosts of CPB (circlar dots with solid straight line) and CCH4 (square dots with dashed straight line).

the host–guest *anisotropic* dispersion force, whereas the smaller the  $\Delta E$  value of the guest, the stronger the total (isotropic + anisotropic) host–guest dispersion force. The latter criterion is based on the theory for the isotropic and anisotropic dispersion energies by Maier and Saupe [1].

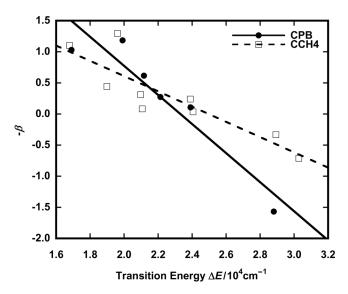
The  $-\beta$  vs.  $\Delta \alpha^*$ , S vs.  $\Delta \alpha^*$  and  $-\beta$  vs.  $\Delta E$  plots are shown in Fig. 3–5, respectively, for the guests in the nematic hosts of CPB and CCH4. It is found from these figures that there exist roughly linear relationships with negative slopes for the  $-\beta$  vs.  $\Delta\alpha^*$ , S vs.  $\Delta\alpha^*$ , and  $-\beta$  vs.  $\Delta E$  plots. That is, the guests with larger  $\Delta \alpha^*$  values exhibit smaller  $-\beta$  or S values, whereas the guests with smaller  $\Delta E$  values induce larger  $-\beta$  values. It is, therefore, demonstrated that the larger the host-guest anisotropic dispersion force, the smaller the orientational order of the guest and the rate of increase in  $T_{\rm ni}$  of the host, whereas the larger the total (*isotropic* + *anisotropic*) host-guest dispersion force, the larger the orientational order of the guest and the rate of increase in  $T_{\rm ni}$  of the host. The combination of these two demonstrations leads to the conclusion that the isotropic host-guest dispersion force is considered to play a central role in the latter demonstration. This implies that the larger the host-guest *isotropic* dispersion force, the larger the orientational order of the guest and the increases in  $T_{\rm ni}$ of the host. It is therefore shown that the host–guest *anisotropic* and



**FIGURE 3**  $-\beta$  vs.  $\Delta\alpha^*$  plots for guests in nematic hosts of CPB (circlar dots with solid straight line) and CCH4 (square dots with dashed straight line).



**FIGURE 4** S vs.  $\Delta \alpha^*$  plots for the guests in the nematic hosts of CPB (circlar dots with solid straight line) and CCH4 (square dots with dashed straight line).

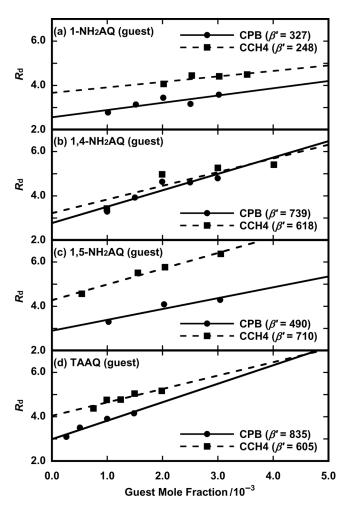


**FIGURE 5**  $-\beta$  vs.  $\Delta E$  plots for guests in nematic hosts of CPB (circlar dots with solid straight line) and CCH4 (square dots with dashed straight line).

isotropic dispersion forces exhibit such opposing effects; the anisotropic force weakens the orientational order of the guest and the increase of  $T_{\rm ni}$  of the host whereas the *isotropic* force strengthens them. Thus, it is shown qualitatively that the dispersion force between host and guest, responsible for the orientation of the guest and for the increases in  $T_{\rm ni}$  of the host, is not anisotropic but isotropic. It was further observed that the  $R_{\rm d}$  values of the guests in the oriented nematic hosts ascended with increasing concentrations of the guests (Fig. 6),  $R_d$  values being observed at constant temperatures appropriate to the nematic hosts of CPB (20°C) and CCH4 (55°C). Here, aminosubstituted anthraquinones were selected as guests because they induced marked elevations of  $T_{\rm ni}$  with their increasing concentrations (Fig. 1), TAAQ being newly included. The  $\beta'$  values evaluated from the slopes of the straight lines in Fig. 6 are given in parentheses. A few articles have already been published concerning guest-concentration dependence of the orientation of guest in the nematic host [24,35,36].

# Origin of the Host-Guest Interaction Affecting $R_d$

To elicit a sounder confirmation of this view and to analyze the concentration dependence of the  $R_{\rm d}$  values mentioned previously, the host–guest *isotropic* and *anisotropic* interaction energies were



**FIGURE 6**  $R_d$  vs. guest mole fraction plots for guests of (a) 1-NH<sub>2</sub>AQ, (b) 1,4-NH<sub>2</sub>AQ, (c) 1,5-NH<sub>2</sub>AQ, and (d) TAAQ in nematic hosts of CPB (circle dots with solid straight line) and CCH4 (square dots with dashed straight line).  $\beta'$  values evaluated from slopes of straight lines are given in parentheses.

experimentally estimated. These estimated host-guest interaction energies were further decomposed into the host-guest dispersion (including induction) and electrostatic energies by Eq. (1), which was theoretically derived for the effects of solute-solvent electric dipole interactions on electronic absorption band energies (in wavenumber) in solution spectra by E. G. McRae [25], as follows:

<b>TABLE 1</b> Relative Permittivities and Refractive
Indices for Isotropic and Anisotropic Hosts of CCH4
and CPB

	Host		
Parameter	CCH4	СРВ	
$\Delta arepsilon^a$	4.066	10.006	
$egin{array}{c} a \\ \varepsilon_{\perp}^{} a \\ \varepsilon_{\parallel}^{} \end{array}$	3.770	5.504	
$\varepsilon_{\parallel}^{\alpha}$	7.836	15.51	
$\mathcal{E}_{\mathbf{iso}}$	5.560	9.407	
$n_{ m iso}$	1.441	1.579	
$\Delta n$	0.055	0.206	
$n_{\perp}$	1.416	1.464	
$n_{\parallel}^{c}$	1.471	1.670	

<sup>&</sup>lt;sup>a</sup>See the text for the meanings of notations used.

$$\tilde{\nu} = \tilde{\nu}_{\rm g} + ({\rm A} + {\rm B}) \left[ \frac{n^2-1}{2n^2+1} \right] + {\rm C} \left[ \frac{\varepsilon-1}{\varepsilon+2} - \frac{n^2-1}{n^2+2} \right]. \tag{1} \label{eq:power_power}$$

To apply this equation to the present problem, we measured the relative permittivities  $(\varepsilon)$  and refractive indices (n) of the isotropic and anisotropic hosts and also the first electronic absorption band energies [in wavenumber  $(\tilde{\nu})$ ] for the guests dissolved in the isotropic and anisotropic hosts. These measured values are given in Tables 1 and 2.

As a first step, the first transition energy  $(\tilde{\nu}_g)$  of the gaseous guest and unknown constants  $(A_{iso}+B_{iso})$  and  $C_{iso}$  of the guest in the isotropic host were evaluated by solving simultaneous linear equations in three unknowns. These equations are obtained by substitutions of the  $n_{iso}$  and  $\varepsilon_{iso}$  values for the three kinds of isotropic hosts of

**TABLE 2** First Electronic Absorption B and Energies  $\tilde{\nu}$  for Guests in Isotropic and Anisotropic Hosts

	$ ilde{ u}_{ m iso}~({ m cm}^{-1})$ in isotropic host			$\tilde{ u}_{\mathrm{iso}}\ (\mathrm{cm}^{-1})$ in isotropic host		opic host	$ ilde{ u}_{ m aniso}~({ m cm}^{-1})~{ m in}$	anisotropic host
Guest	CCH4	CPB	Ethanol	CCH4	CPB			
$\begin{array}{c} \textbf{1-NH}_2\textbf{AQ}\\ \textbf{1,5-NH}_2\textbf{AQ}\\ \textbf{1,4-NH}_2\textbf{AQ}\\ \textbf{TAAQ} \end{array}$	21395 20799 17106 15637	21249 20551 16875 15475	20964 20408 16835 15798	21164 19908 16903 15571	20973 19616 16790 15337			

<sup>&</sup>lt;sup>b</sup>Given as  $\varepsilon_{\parallel} = \Delta \varepsilon + \varepsilon_{\perp}$ .

<sup>&</sup>lt;sup>c</sup>Given as  $n_{\parallel} = \Delta n + n_{\perp}$ .

TABLE 3 Decomposition of the Guest-Host Isotropic Interaction Energy into
Dispersion and Electrostatic Interaction Energies

Guest	Host	$Isotropic \\ interaction \\ energy^a (cm^{-1})$	Isotropic dispersion interaction energy $^b$ (cm $^{-1}$ )	$\begin{array}{c} Isotropic\\ electrostatic\\ interaction\\ energy^c\ (cm^{-1}) \end{array}$
1-NH <sub>2</sub> AQ	CCH4	-754	-270	-485
	CPB	-900	-322	-578
	Ethanol	-1185	-234	-951
$1,5$ -NH $_2$ AQ	CCH4	-1280	-768	-512
	CPB	-1528	-917	-611
	Ethanol	-1671	-666	-1005
$1,4$ -NH $_2$ AQ	CCH4	-1029	-800	-392
	CPB	-1260	-956	-467
	Ethanol	-1300	-694	-769
TAAQ	CCH4	-835	-881	46
	CPB	-997	-1051	55
	Ethanol	-674	-764	90

 $<sup>\</sup>begin{split} ^{a}\Delta\tilde{\nu} &= \tilde{\nu}_{\mathrm{iso}} - \tilde{\nu}_{\mathrm{g}}, \\ ^{b}(A_{\mathrm{iso}} + B_{\mathrm{iso}}) \Big[ \frac{n_{\mathrm{iso}}^2 - 1}{2n_{\mathrm{iso}}^2 + 1} \Big]. \\ ^{c}C_{\mathrm{iso}} \Big[ \frac{\epsilon_{\mathrm{iso}} - 1}{\epsilon_{\mathrm{iso}} + 2} - \frac{n_{\mathrm{iso}}^2 - 1}{n_{\mathrm{iso}}^2 + 2} \Big]. \end{split}$ 

CCH4, CPB, and ethanol, and the  $\tilde{\nu}_{iso}$  values for the guest in these isotropic hosts into Eq. (1). Then, the right-side second and third terms in Eq. (1) can be evaluated as given in Table 3 for each guest in the isotropic hosts. The band shift energy  $\Delta \tilde{\nu} (= \tilde{\nu}_{\rm iso} - \tilde{\nu}_{\rm g})$  is considered to be a measure of the host-guest *isotropic* interaction energy. According to Eq. (1), this *isotropic* interaction energy can be decomposed into the right-side second [the isotropic dispersion (including induction) energy] and third (the isotropic electrostatic energy) terms as tabulated in Table 3. Similarly, the constants  $(A_{aniso} + B_{aniso})$  and  $C_{aniso}$ for the guest in the anisotropic liquid-crystalline host were evaluated by solving simultaneous linear equations in two unknowns, which were obtained by substitutions of the  $n_{\parallel}$  and  $\epsilon_{\parallel}$  values for the two kinds of liquid-crystalline hosts of CCH4 and CPB and the  $\tilde{\nu}_{aniso}$  values for the guest in these liquid-crystalline hosts along with the  $\tilde{\nu}_{\mathrm{g}}$  value into Eq. (1). The  $A_{aniso} + B_{aniso}$  and  $C_{aniso}$  values thus obtained yield the right-side second and third terms of Eq. (1) for each guest in the anisotropic liquid-crystalline host as shown in Table 4. In this table, the band shift energy  $\Delta \tilde{\nu} (= \tilde{\nu}_{aniso} - \tilde{\nu}_{g})$  is considered to be a measure of the host–guest isotropic + anisotropic interaction energy.

<b>TABLE 4</b> Decomposition of the Guest–Host <i>Isotropic + Anisotropic</i> Interest of the Guest–Host <i>Isotropic + Anisotropic + Anisot</i>	erac-
tion Energy into Dispersion and Electrostatic Interaction Energies	

Guest	Host	$Isotropic + \\ anisotropic \\ interaction \\ energy^a (cm^{-1})$	Isotropic + anisotropic dispersion interaction energy <sup>b</sup> (cm <sup>-1</sup> )	$Isotropic + $ anisotropic electrostatic interaction energy $^c$ (cm $^{-1}$ )
1-NH <sub>2</sub> AQ	CCH4	-985	-649	-336
	CPB	-1176	-808	-368
$1,5$ -NH $_2$ AQ	CCH4	-2171	-568	-1603
	CPB	-2463	-707	-1756
$1,4$ -NH $_2$ AQ	CCH4	-1232	30	-1262
	CPB	-1345	38	-1383
TAAQ	CCH4	-901	-990	89
	CPB	-1135	-1232	98

 $<sup>\</sup>begin{split} ^{a}\Delta\tilde{\nu} &= \tilde{\nu}_{aniso} - \tilde{\nu}_{g},\\ ^{b}(A_{aniso} + B_{aniso}) \begin{bmatrix} n_{\parallel}^{2} - 1 \\ 2n_{\parallel}^{2} + 1 \end{bmatrix},\\ ^{c}C_{aniso} \begin{bmatrix} \frac{\epsilon_{\parallel} - 1}{\epsilon_{\parallel} + 2} - \frac{n_{\parallel}^{2} - 1}{n_{\parallel}^{2} + 2} \end{bmatrix}. \end{split}$ 

Subtraction of the host-guest interaction energies in Table 3 from the corresponding ones in Table 4 gives the results in Table 5, which show the decomposition of the host-guest anisotropic interaction energy into dispersion and electrostatic energies. It is interesting to note that the *anisotropic* host–guest dispersion and electrostatic forces are respectively attractive and repulsive for both TAAQ and 1-NH<sub>2</sub>AQ but repulsive and attractive for both 1,4-NH<sub>2</sub>AQ and 1,5-NH<sub>2</sub>AQ (Table 5). Tables 3 and 5 reveal that the host-guest dispersion and electrostatic forces, which contribute to the host-guest isotropic and anisotropic intermolecular interactions, are controlled by the guests, irrespective of the hosts. That is, both the isotropic and anisotropic interactions are mainly contributed by the dispersion force for TAAQ; the isotropic and anisotropic ones mainly by the electrostatic and dispersion forces, respectively, for 1-NH<sub>2</sub>AQ; and the *isotropic* one by both the electrostatic and dispersion forces to the same degree and the anisotropic one mainly by the electrostatic force for 1,4-NH<sub>2</sub>AQ and 1,5-NH<sub>2</sub>AQ. These results may be specific to the present hostguest system and are considered to arise from the difference in the polarity natures of guests due to different number of amino groups. It may be due to the presence of the polar cyano group on both hosts of CPB and CCH4 that the nature of the host-guest interaction force was rather insensitive to the natures of hosts.

Guest	Host	$\begin{array}{c} Anisotropic \\ \text{interaction} \\ \text{energy}^a \ (\text{cm}^{-1}) \end{array}$	Anisotropic dispersion interaction energy $^b$ (cm $^{-1}$ )	Anisotropic electrostatic interaction energy $^c$ (cm $^{-1}$ )
1-NH <sub>2</sub> AQ	CCH4	-231	-379	149
	CPB	-276	-486	210
$1,5$ -NH $_2$ AQ	CCH4	-891	200	-1091
	CPB	-935	210	-1145
$1,4$ -NH $_2$ AQ	CCH4	-203	830	-870
	CPB	-85	994	-916
TAAQ	CCH4	-66	-109	43
	CPB	-138	-181	43

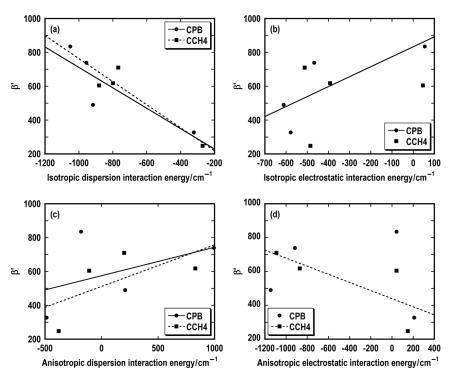
**TABLE 5** Decomposition of the Guest–Host *Anisotropic* Interaction Energy into Dispersion and Electrostatic Interaction Energies

$$\begin{split} ^{a}\Delta\tilde{\nu} &= \tilde{\nu}_{aniso} - \tilde{\nu}_{iso}.\\ ^{b}(A_{aniso} + B_{aniso}) \begin{bmatrix} n_{\parallel}^{2} - 1 \\ 2n_{\parallel}^{2} + 1 \end{bmatrix} - (A_{iso} + B_{iso}) \begin{bmatrix} n_{iso}^{2} - 1 \\ 2n_{iso}^{2} + 1 \end{bmatrix}.\\ ^{c}C_{aniso} \begin{bmatrix} \frac{\epsilon_{\parallel} - 1}{\epsilon_{\parallel} + 2} - \frac{n_{\parallel}^{2} - 1}{n_{\parallel}^{2} + 2} \end{bmatrix} - C_{iso} \begin{bmatrix} \frac{\epsilon_{iso} - 1}{\epsilon_{iso} + 2} - \frac{n_{iso}^{2} - 1}{n_{iso}^{2} + 2} \end{bmatrix}. \end{split}$$

The  $\beta'$  vs. host-guest interaction energy plots show (1) a good linear relationship with negative slope concerning isotropic dispersion energy for the guests in both hosts of CPB and CCH4 (Fig. 7a); (2) a roughly linear relationship with positive slope and no relationship concerning isotropic electrostatic energy for the guests in CPB and CCH4, respectively (Fig. 7b); (3) a roughly linear relationship with positive slope concerning anisotropic dispersion energy for the guests in both hosts of CPB and CCH4 (Fig. 7c); and (4) no relationship and a roughly linear relationship with negative slope concerning anisotropic electrostatic energy for the guests in CPB and CCH4, respectively (Fig. 7d). The rise in  $R_d$  with an increase in guest concentrations is, therefore, quantitatively demonstrated to be induced mainly by the attractive host-guest isotropic dispersion force and partly by the repulsive host–guest anisotropic dispersion force for the guest in both hosts of CPB and CCH4; it is also demonstrated to be further partly induced by the attractive host-guest anisotropic electrostatic force for the guests in CCH4 and to be partly hindered by the attractive host-guest isotropic electrostatic force for the guests in CPB.

# Model for the Host-Guest Interaction Affecting $R_d$

A single guest molecule is more or less perturbing host-host interactions, which induce the orientations of nematic host molecules. The



**FIGURE 7** Plots of  $\beta'$  against guest–host interaction energies for guests in nematic hosts of CPB (circlar dots with solid straight line) and CCH4 (square dots with dashed straight line).

total addition of the perturbations by all guest molecules gives the total perturbation for the whole molecular orientational order of the nematic host, which induces the change in the thermal stability  $(T_{\rm ni})$  of the host. Because the total perturbations by the guest molecules increase with increasing the number of guest molecules,  $T_{\rm ni}$  is simply considered to be dependent on the guest concentrations. When the host–guest interaction is attractive (repulsive), the perturbation by the guest molecule will enforce (disturb) the ordered molecular orientation of the nematic host and  $T_{\rm ni}$  will be elevated (lowered) with increasing guest concentrations. However, it is considered that the number of guest molecules is much smaller than that of host molecules, as is the case in the present experiment, direct interaction between guest molecules is impossible, and a single guest molecule interacts with several nearby host molecules directly. Moreover, the orientation of a single guest molecule in the oriented nematic host is

normally controlled only by the whole nature of these interactions of this guest molecule with the several neighboring host molecules. Thus, the orientations of all guest molecules are simply maintained by the total pairwise addition of the host–guest interactions summed up for all guest molecules. Therefore, the orientational order (or  $R_{\rm d}$ ) of the guest in the oriented nematic host is simply considered to be constant irrespective of the number of guest molecules and to be independent of the guest concentrations.

The dependence of  $R_{\rm d}$  on guest concentrations was analyzed based on a model for the *cooperative* and *isotropic* host–guest interaction illustrated in Fig. 8. The important concept for the *cooperative* and *isotropic* host–guest interaction model in Fig. 8 is that the *anisotropic* host–guest interaction induces the original orientation of the guest molecule in the oriented nematic host, and this original orientation is *indirectly* and *cooperatively* influenced by the *isotropic* host–guest interaction. As illustrated in Fig. 8, the primary host–guest interaction is considered to propagate through host molecules by perturbing the host–host interaction sequentially and reaches another guest molecule to generate the secondary host–guest interaction. This secondary

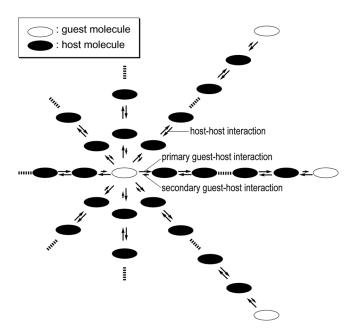


FIGURE 8 Model for cooperative and isotropic guest-host interaction.

host—guest interaction is encountered only when the primary host—guest interaction is large enough to reach another guest molecule (case 1). When the primary host—guest interaction is small (case 2), this is not the case.

In case 1, the host-guest interaction acquires a character of nonpairwise additivity due to the emergence of cooperative action of the primary and secondary host-guest interactions. This leads to the conclusion that the host-guest interaction is under the influence of other guest molecules. That is, the orientation of a guest molecule in the oriented nematic host, which might be originally induced by the anisotropic host-guest attractive or repulsive interaction, is indirectly and cooperatively influenced by other guest molecules and is dependent on the guest concentrations. This concentration dependence arises from two situations in the model of Fig. 8. First, the number of outer guest molecules, which are neighbors to the central guest molecule, becomes larger with an increase in the guest concentration, resulting in generation of more secondary host-guest interactions for the central guest molecule. Second, the distance between the central and outer quest molecules becomes shorter, that is, the number of the host molecules intersecting the central and outer guest molecules becomes smaller with an increase in the guest concentration, resulting in a generation of stronger secondary hostguest interactions for the central guest molecule. When the hostguest interaction is attractive, it can enforce the original orientation of the central guest molecule via the *cooperative* action of the primary and secondary host-guest interactions. Furthermore, when the host-guest interactions are *isotropic*, they can propagate in all directions and would be more effective in allowing themselves to be originated from more outer guest molecules to reach the central guest molecule as secondary ones than they would be when anisotropic. Thus, the isotropic host-guest attractive interaction induces a more effective cooperative action of the primary and secondary host-guest interactions and would have, coupled with the anisotropic host-guest interaction, a higher ability to elevate  $R_d$  with increasing guest concentration than the anisotropic host-guest interaction alone. That is, it is proposed in the host-guest interaction model in Fig. 8 that the *isotropic* host-guest interaction is coupled with the anisotropic one, and this coupling can, even if the anisotropic hostguest interaction is relatively weak as is the case in our host-guest interaction (see Tables 3 and 4), give rise to a strong anisotropic character in the host-guest interaction, which induces in turn an effective orientation of guest in oriented nematic host. If this is the case, it is not curious that the main host-guest dispersion force responsible for the orientation of guest in oriented nematic host has been qualitatively shown to be not anisotropic but isotropic. Furthermore, in case 1, a single guest molecule shows strong perturbations for the host–host interactions, and the total addition of these perturbations by all guest molecules becomes large enough to show a remarkable dependence of the thermal stability  $(T_{\rm ni})$  of the nematic host on the guest concentration. On the other hand, in case 2, while  $T_{\rm ni}$  of the nematic host is weakly dependent on the guest concentrations,  $R_{\rm d}$  of the guest is not dependent on the guest concentrations because there is no emergence of cooperative action of the primary and secondary host–guest interactions.

#### CONCLUSION

The host–guest isotropic interaction energies have been defined as the host–guest interaction energies for the guests in the isotropic phase of a nematic host heated above  $T_{\rm ni}$ . The dispersion energies in these host–guest isotropic interactions have been demonstrated to be in a linear correlation with the  $\beta'$  values. It is therefore suggested that the host–guest interaction character of the guest in the isotropic phase of the nematic host controls the orientation character of the guest in the oriented nematic host in an indirect way. The present cooperative and isotropic host–guest interaction model provides an explanation for why the isotropic + anisotropic host–guest interaction is more effective for the indirect and cooperative induction of the concentration-dependent orientation of the guest in the oriented nematic host than the anisotropic one itself.

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